

Towards the Estimation of Non-Uniform Illumination in Real-World Scenes

David Bernecker

with Christian Riess, Sven Pfaller and Elli Angelopoulou

September 27, 2013

Pattern Recognition Lab, University of Erlangen-Nuremberg



FRIEDRICH-ALEXANDER
UNIVERSITÄT
ERLANGEN-NÜRNBERG

TECHNISCHE FAKULTÄT

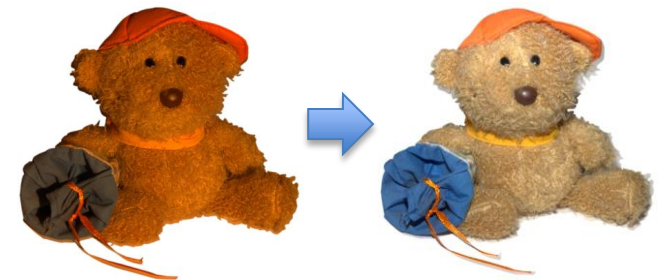
Illumination Changes Color Appearance



- With fixed camera settings, one can readily observe that: change in illuminant color → change in object appearance
- These variations make color-based image processing challenging

Color Constancy: Separate Illumination and Material

- Assume: with known illumination, color correction is straightforward
- Let $\mathbf{i} = (i_R \ i_G \ i_B)^T$ be the illumination color, and $\mathbf{p} = (p_R \ p_G \ p_B)^T$ the observed pixel color
- Then, the color-corrected pixel is $\mathbf{p}' = \begin{pmatrix} \frac{p_R}{i_R} & \frac{p_G}{i_G} & \frac{p_B}{i_B} \end{pmatrix}^T$
- Hence, we „only“ need an estimate of the illuminant color to normalize an image
- Many estimators have been proposed, e.g.
 - Gray world
 - Gamut mapping
 - Bayesian color constancy



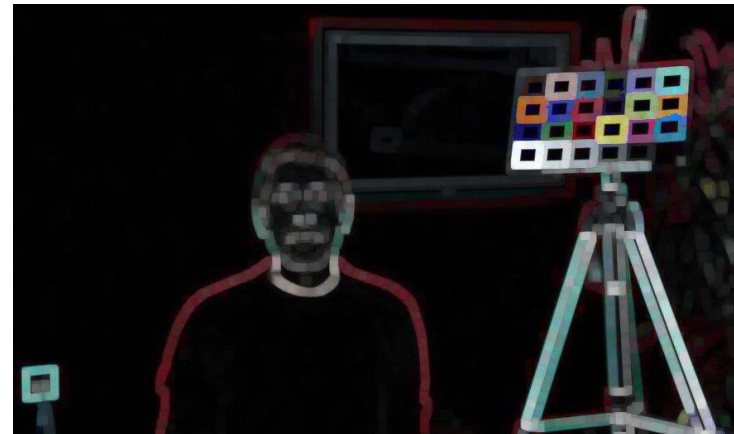
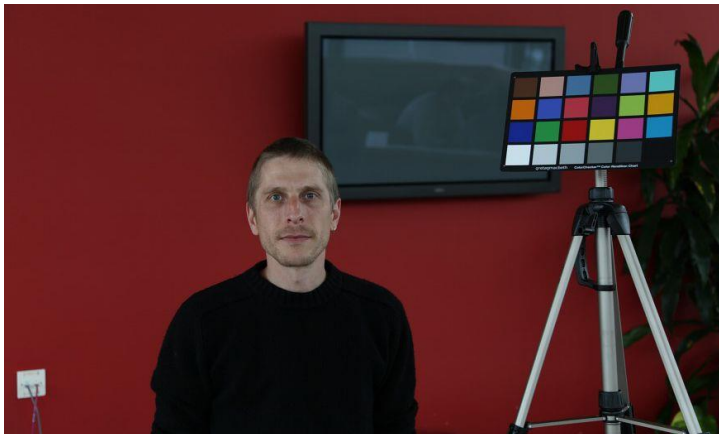
Example Approach: Gray World / Gray Edge

- Gray world hypothesis: sum of pixel or edge colors is illumination

$$l = k \left(\int \left| \frac{\partial^n f^\sigma(x)}{\partial x^n} \right|^p dx \right)^{\frac{1}{p}}$$

Parameters:

- Derivative order n
- p -norm
- Standard deviation of Gaussian smoothing σ



Limitation: Assumption of Globally Uniform Illumination

- Classical color constancy algorithms are designed to estimate a single illuminant color for the whole image
- However, many real-world scenes consist of two or more illuminants:



Flash / ambient light



Shadows / direct light

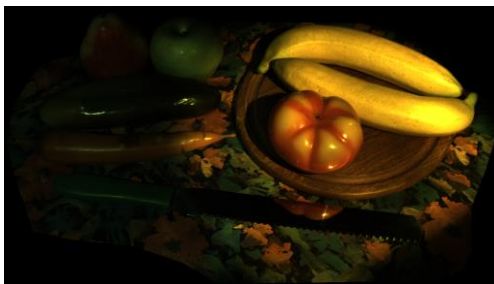
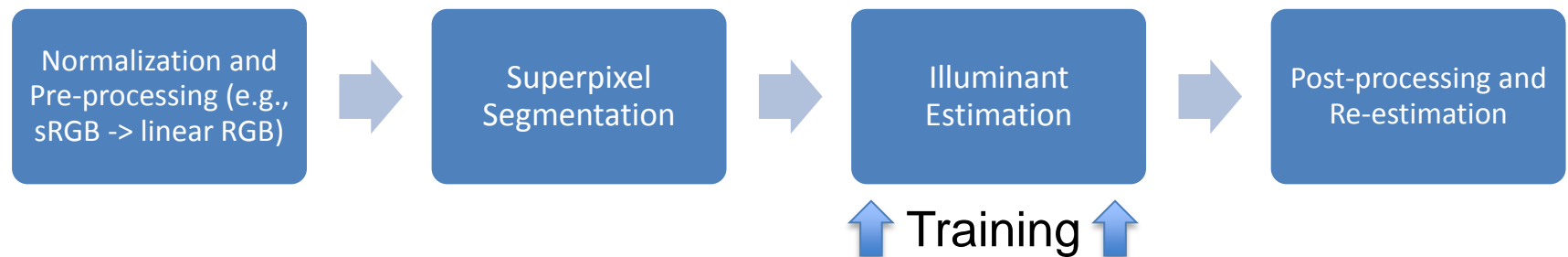


Complex lighting

- **The goal of our research is to estimate local illumination**

Our Prior Work on Local Illuminant Estimation

- Bleier et al. (CPCV 2011):
scale down spatial support of existing illuminant estimators



Input image



Superpixels segmentation



Gray World



What is Wrong with this Approach?

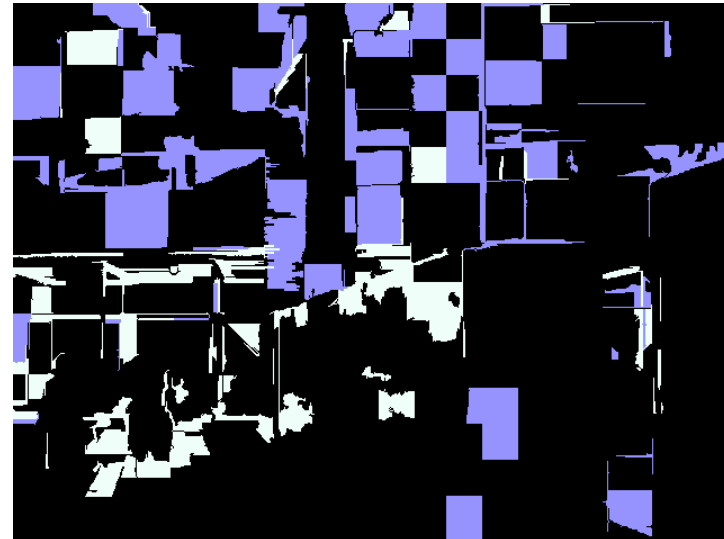
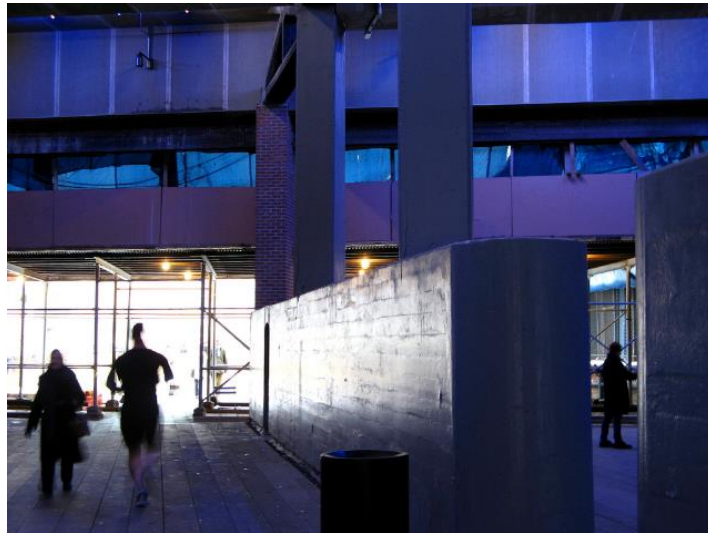
- Using statistical estimators on smaller image patches works, to some extend
- However, there are some serious drawbacks:
 - Segments are treated independently of each other
 - On average, smaller patches lead to larger estimation errors
 - No problem-specific knowledge is used, i.e., we only use an ensemble of „dumb“ global estimators
- When estimating local illuminant colors, we have to solve two joint subproblems:
 - Estimate a **set of illuminant colors** in the scene
 - Estimate the **spatial distribution** of the illuminant colors



Contribution of this Study

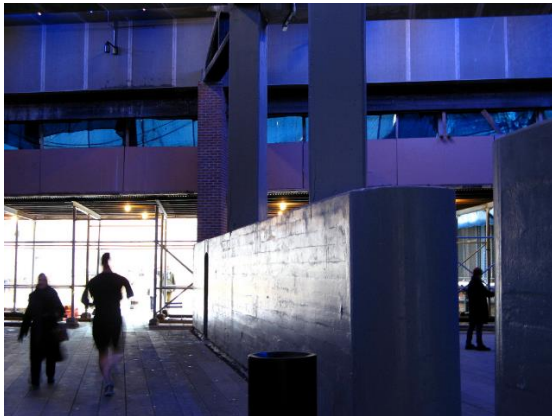
- We aim towards decomposing the scene based on its illuminant color distribution
- Assume we have a set of local illuminant estimates, then we seek a **selection of a set of candidate illuminants**
- This selector is heuristically chosen to limit the total number to **only 2 or 3 scene illuminants**
- Each local estimate is then recolored to the closest candidate illuminant
- Findings of this work lead to a recently developed, more complete illuminant color estimator that uses a Conditional Random Field

Algorithm Overview, Input and Output



- An input image is
 - Segmented
 - Local illuminant colors are computed (black: little confidence in the estimate)
 - From these colors, few candidates are selected
 - The segments are recolored according to the most similar candidate

Segmentation of the Scene



Input image



Superpixels



Superpixels w/ grid

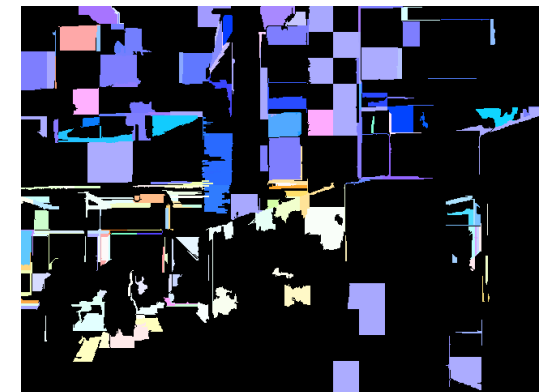
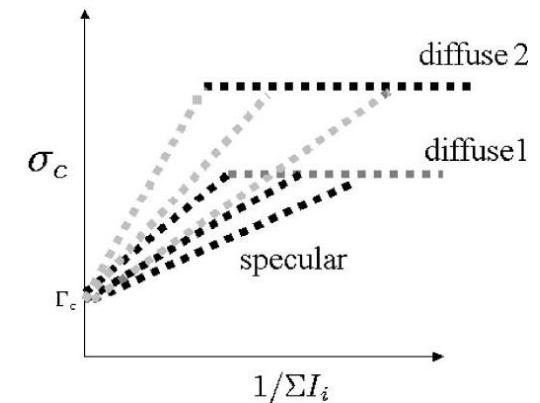
- The scene is subdivided in „superpixels“, i.e., areas of similar color (with the graph-based algorithm by Felzenszwalb and Huttenlocher)
- The resulting superpixels are intersected with a grid, to obtain regions of approximately the same size

Local Illuminant Estimation

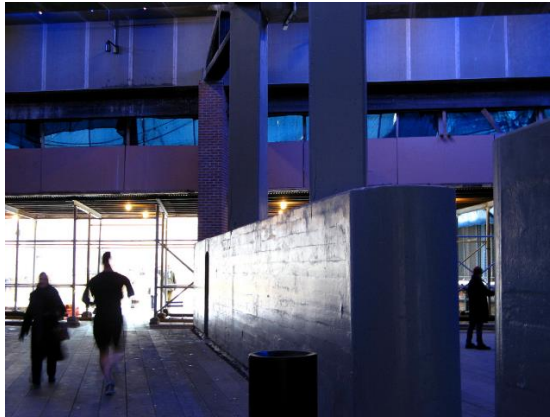
- For each segment, the illuminant color is estimated
- We use a physics-based approach, exploiting the inverse-intensity chromaticity (IIC) space by Tan, Nishino and Ikeuchi (2004):
 - For every color channel, project every pixel to

$$p_c \rightarrow \left(\frac{1}{p_R + p_G + p_B}, \frac{p_c}{p_R + p_G + p_B} \right)$$

- Partially specular pixels form a triangular shape.
- The tip of the triangle intersects the y-axis
- The position on the y-axis is the illuminant color estimate for color c
- We added some shape constraints in prior work to suppress outliers



Computation of the Segment Confidence



Input image



Confidence map

- IIC space relies on some specular reflection in the segment
- Segments with purely diffuse reflection are likely to produce wrong estimates
- We use the specular segmentation by Tan and Ikeuchi (2005) to estimate the amount of specular pixels per segments.

Selecting Candidate Illuminants

- Every per-segment illuminant estimate is a potential scene illuminant
- The goal is to select two or three scene illuminants from them
- To do so, we define a distance function between two illuminants \mathbf{i}_s and \mathbf{q} as

$$d(\mathbf{i}_s, \mathbf{q}) = \begin{cases} 1 - 9 \cdot a(\mathbf{i}_s, \mathbf{q}) & \text{if } a(\mathbf{i}_s, \mathbf{q}) \leq 0.1 \\ 0.1 - (a(\mathbf{i}_s, \mathbf{q}) - 0.1)/9 & \text{otherwise} \end{cases}$$

where $a(\mathbf{i}_s, \mathbf{q}) = \cos^{-1}(\mathbf{i}_s \circ \mathbf{q})$ is the angular distance between two RGB-vectors

- $d(\mathbf{i}_s, \mathbf{q})$ is just a piecewise-defined linear function of angular distance

Selecting Candidate Illuminants

- The objective function is then

$$Q_{\text{opt}} = \underset{Q}{\operatorname{argmin}} \sum_{s \in S} \left(1 - \sum_{\mathbf{q} \in Q} d(\mathbf{i}_s, \mathbf{q}) \right)^2 c_s$$

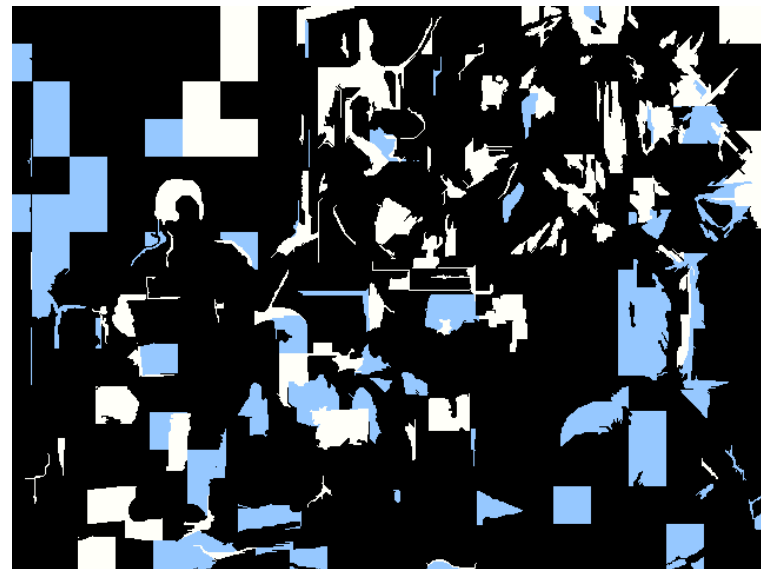
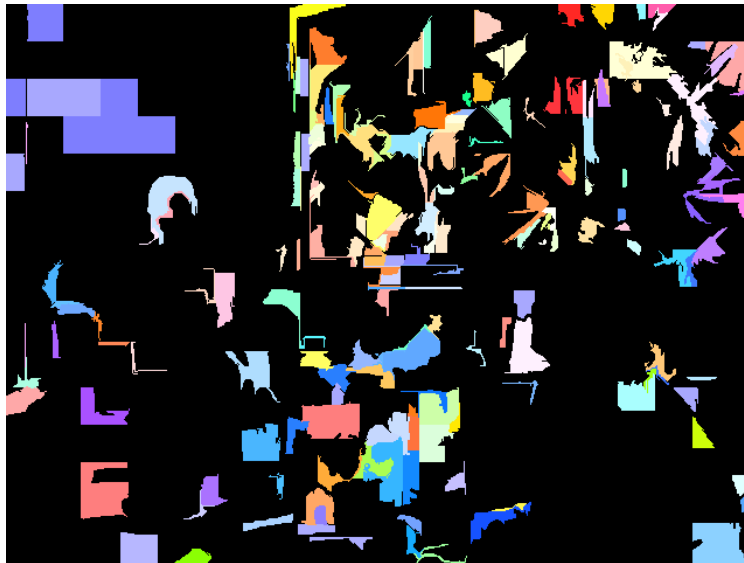
where

- S is the set of all segments,
 - Q is the set of all illuminant estimates,
 - c_s is a linear weighting factor from the confidences, and
 - $d(\mathbf{i}_s, \mathbf{q})$ is the distance between two illuminants, as defined on the previous slide
- Q_{opt} rewards a selection of illuminant candidates, such that a maximum number of segment estimates is close to exactly one illuminant candidate
 - We constrain the cardinality of Q_{opt} to two or three

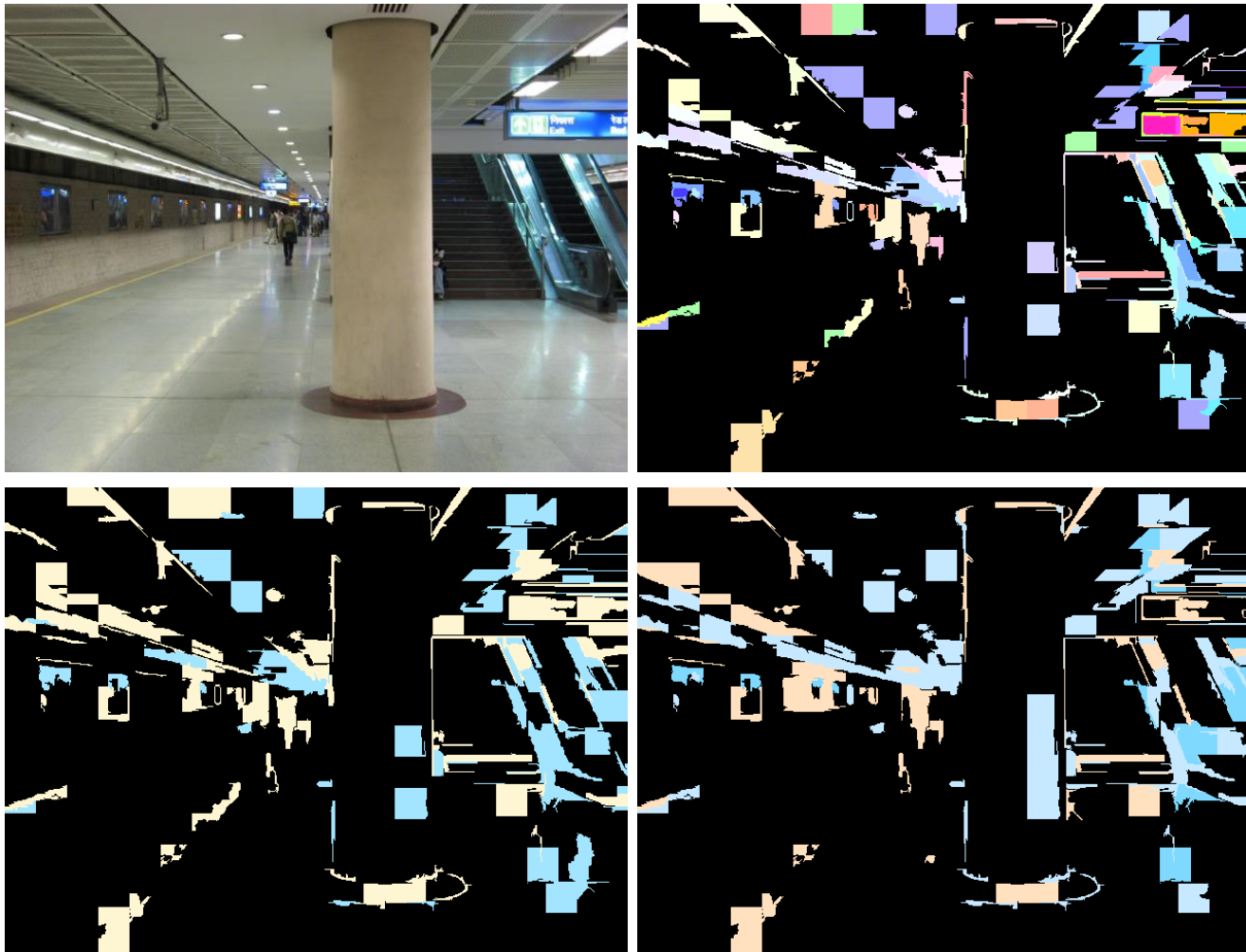
Qualitative Results



Qualitative Results



Qualitative Results





Discussion

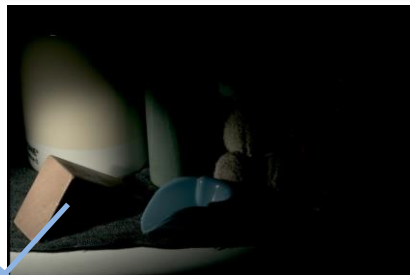
- Selection of two or three illuminants is an open issue – although two might be enough in many scenarios
- The optimization criterion is not perfectly well-behaved for single-illuminant scenes:
encouraging each segment to belong to exactly one candidate illuminant encourages a minimum distance of the candidate illuminants
- However, qualitative results on several real-world scenes look promising

Outlook: Ground Truth

- Meanwhile, we developed a way to obtain quantitative ground truth from a series of linear images under two illuminants:



=



+



Per-illuminant influence
in the two-illuminant image

Outlook: Conditional Random Field

- We pursued the ideas of this talk in a Conditional Random Field framework (accepted in IEEE TIP two days ago)
- Here, an energy functional is minimized to automatically select the proper number of illuminants





Summary

- Color constancy aims to **separate object colors from illumination colors** (e.g., for object recognition, white balancing...)
- Color constancy is **underconstrained**: more unknowns than observations
- Under non-uniform illumination, one has to **estimate the colors of the illuminants and their spatial distribution**
- We present a straightforward optimization approach to solve both tasks simultaneously
- In further work, we extended this functional to a more principled energy functional

Thank you for your attention!



**FRIEDRICH-ALEXANDER
UNIVERSITÄT
ERLANGEN-NÜRNBERG**

TECHNISCHE FAKULTÄT