

# Deformable Contours



**Prof. Dr. Elli Angelopoulou**

**Pattern Recognition Lab (Computer Science 5)**

**University of Erlangen-Nuremberg**

# Geometric Features

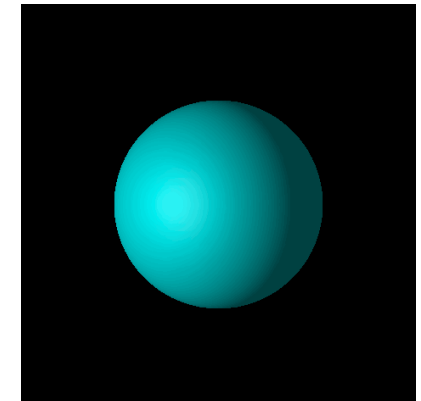
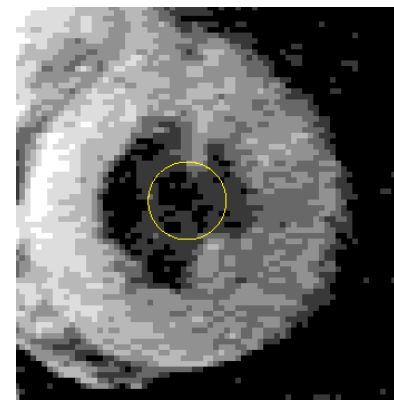
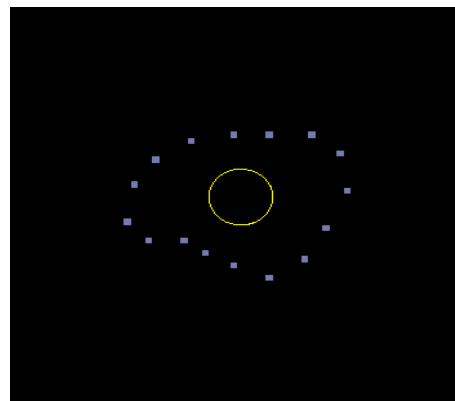
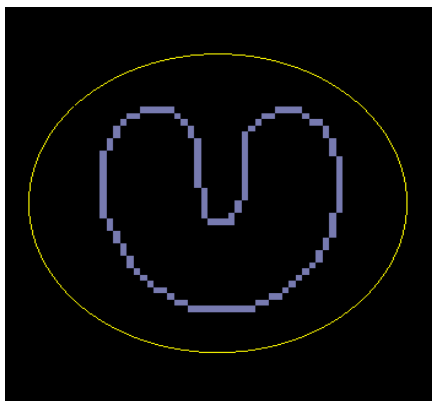


- We examined features that can be extracted directly from images:
  - Edges
  - Textons
  - Color
- We also examined the extraction of higher level features that correspond to specific shapes.
  - Lines
  - Circles
  - Ellipses
- Hough Transforms are well-suited for this last set of features. They can also be used for arbitrary shapes (Generalized Hough Transform) but this typically requires a considerable amount of pre-processing.
- Is there a better way to find curves of arbitrary shapes?

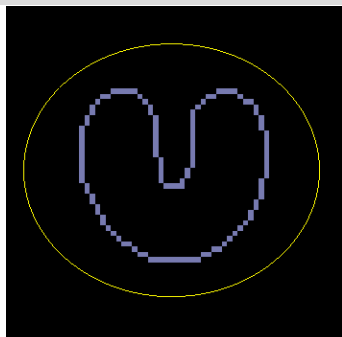
# Deformable Contours



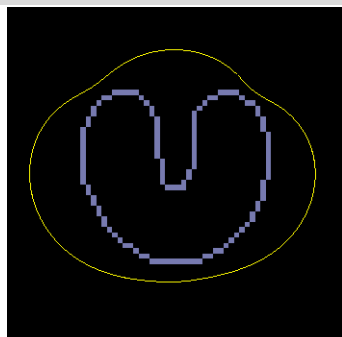
- Deformable contours are also known as active contours or snakes.
- Goal: find a contour that best approximates the perimeter of an object.
- One can visualize it as a rubber band of arbitrary shape that is capable of deforming during time, in order to get as close as possible to the target contour.



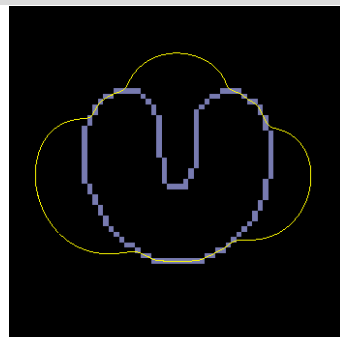
# Deformable Contour Example



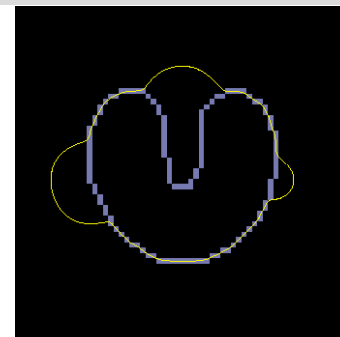
Initialization



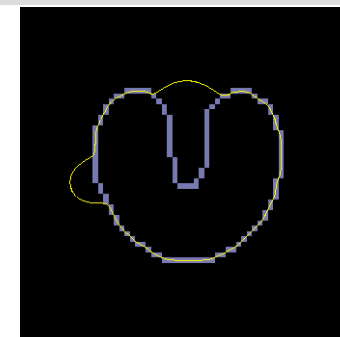
Iteration 2



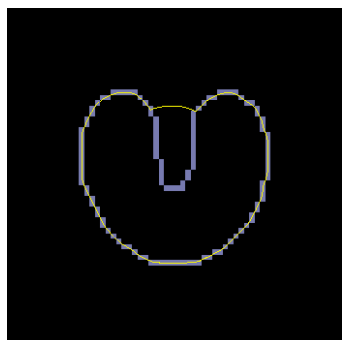
Iteration 4



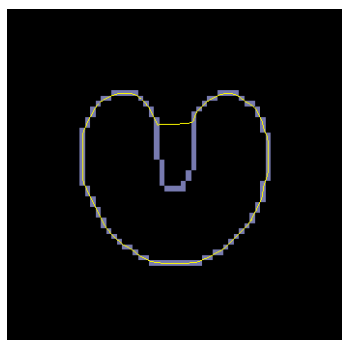
Iteration 7



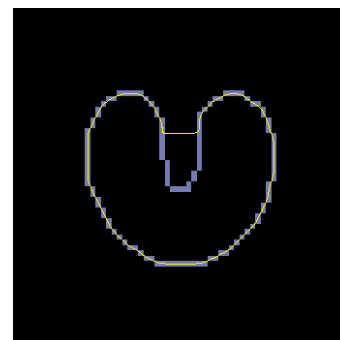
Iteration 9



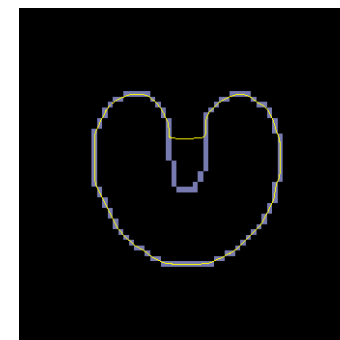
Iteration 11



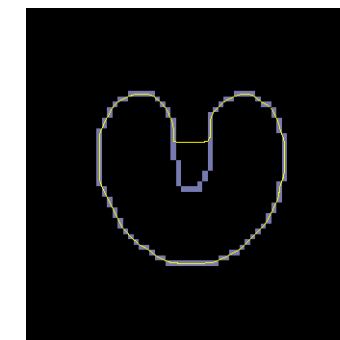
Iteration 13



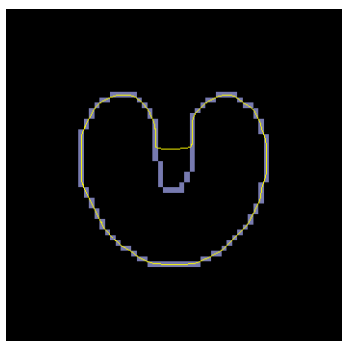
Iteration 16



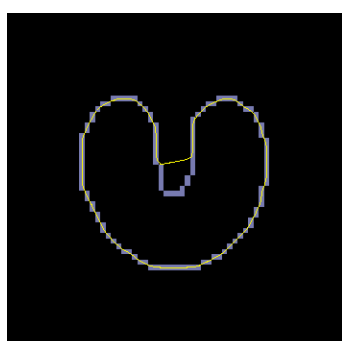
Iteration 18



Iteration 20



Iteration 22



Iteration 24



Iteration 26



Iteration 28



Iteration 30

**Elli Angelopoulou**

Deformable Contours

## Main Idea of Deformable Contours



- The image information (usually edges) guide an elastic band that is *sensitive to* the intensity gradient (or some other image feature).
- The band is initially located near the image contour of interest.
- The rubber band is deformed, pulled, by the edges (or other image information) to fit the target contour.
- The edge-based deformable contours explicitly use the intensity *gradient* of the image, unlike the Hough transform which is often based on only the existence of edge points.

## Procedure



1. A contour (open or closed) is placed near the image contour of interest.
  - The initial placement can be done manually or be the output of some other algorithm.
  - “Seeding” the snake (step 1) can be critical in the success of finding the contour.
2. During an iterative process, the active contour is attracted towards the target contour by various forces that control the *shape and location of the snake within* the image.
3. The active contour deformation ends either when it becomes relatively stable (stops to evolve), or after a fixed number of iterations.



## “Pulling” Concept

- How is this band attracted to the target contour?
- We have to describe the forces that act on the contour to deform it.
- Different deformable contour models use different forces.
- We will cover the more classical formulation which is:
  - Based on intensity gradients
  - Given as a sum of 3 forces.



## “Pulling” Forces

- The 3-forces active contour model uses the following three deformation-guiding forces:
  1. A *continuity term* (force),  $E_{cont}$  which encourages continuity of the contour.
  2. A *smoothness term* (force),  $E_{curv}$  which encourages smoothness in the contour.
  3. An *edge attraction term* (force),  $E_{img}$  which pulls the contour towards the closest image edge.
- $E_{cont}$  and  $E_{curv}$  are called *internal energy* terms.
- $E_{img}$  is called *external energy* term.



# Internal vs. External Energy Terms



- The internal energy terms are user defined functions that are associated with which properties or characteristics the resulting active contour should have.
- They are typically used in determining the following attributes of the curve:
  - Stiffness or rigidity
  - Smoothness
  - Uniform spread of control points on the contour.
- The external energy term is user defined and is the one that explicitly uses the image information to deform the curve.

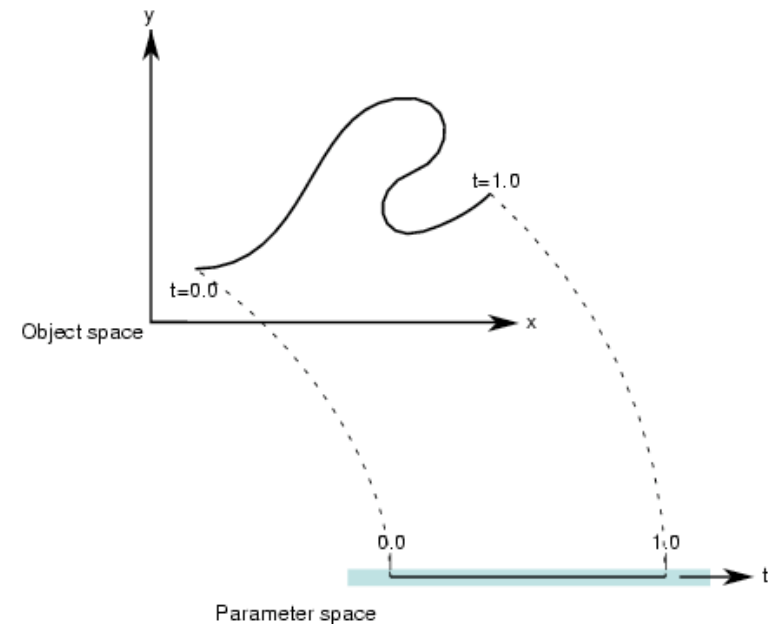
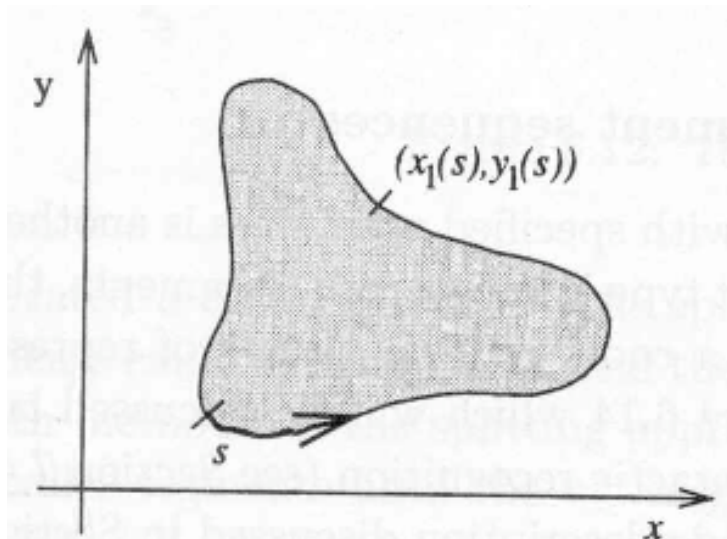
# Parametric Representation



- The contour itself is a given in parametric form

$$c(s) = (x(s), y(s))$$

where  $x(s)$  and  $y(s)$  are the coordinates along the contour and  $s$  is the arc length  $s \in [0,1]$



Deformable contours

# Energy Functional



- The contour  $c(s)$  is deformed using the sum of the three forces  $E_{cont}, E_{curv}, E_{img}$
- How? We construct an *energy functional which measures the appropriateness* of the contour.

$$\mathcal{E} = \int \left( \alpha(s) E_{cont} + \beta(s) E_{curv} + \gamma(s) E_{img} \right) ds$$

where  $\alpha, \beta$  and  $\gamma$  control the relative influence of the corresponding energy terms and can vary along  $c$ .

- Good solutions correspond to *minima of the functional*.
- Goal: minimize this functional with respect to the contour parameter  $s$ .

# Continuity Term



- The continuity term,  $E_{cont}$ , encourages continuity of the contour and is defined as:

$$E_{cont} = \left\| \frac{dc}{ds} \right\|^2$$

- It is based on the 1<sup>st</sup> derivative. For a continuous curve we want to minimize  $E_{cont}$ .
- The 1<sup>st</sup> derivative corresponds to the slope of the tangent to the curve.
- In an arc-length parameterization (as in this case), the tangent vector is always a unit vector.
- Thus, in this form it is mainly a check for continuity.

## Continuity Term- Discrete Case



- In the discrete world the contour is replaced by a chain of  $N$  image points on the curve,  $p_1, p_2, \dots, p_N$
- The first derivative is then approximated by a finite difference:

$$E_{cont} = \|p_i - p_{i-1}\|^2 \quad \text{where } i = 2, 3, \dots, N$$

$$E_{cont} = (x_i - x_{i-1})^2 + (y_i - y_{i-1})^2$$

- Thus, this term tries to minimize the distance between the points. It supports more compact contours.

# Continuity Term – A Better Approximation



$$E_{cont} = \|p_i - p_{i-1}\|^2$$

- As defined,  $E_{cont}$  can cause the formation of clusters.
- Thus, a better form is:

$$E_{cont} = \left(\bar{d} - \|p_i - p_{i-1}\|\right)^2 \quad \text{where} \quad \bar{d} = \frac{1}{N-1} \sum_{i=2}^N \|p_i - p_{i-1}\|$$

- When  $\|p_i - p_{i-1}\| \gg \bar{d}$  then  $E_{cont} \approx \|p_i - p_{i-1}\|^2$ .
- However if we don't have such outliers, i.e. for smaller distances this new  $E_{cont}$  encourages the formation of equally spaced chains of points.

# Continuity Term - Comments



- In the absence of other influences, the continuity energy term coerces:
  - an open deformable contour into a straight line and
  - a closed deformable contour into a circle.

## Smoothness Term



- The smoothness term,  $E_{curv}$ , encourages smoothness of the contour and is defined as:

$$E_{curv} = \left\| \frac{d^2c}{ds^2} \right\|^2$$

- It is based on the 2<sup>nd</sup> derivative, which is a measure of curvature.
- We want to avoid oscillations => Penalize high curvature.
- Thus, for a smooth curve we want to minimize  $E_{curv}$ .
- It is also a form of an internal energy function. In this case, it enforces a particular shape preference (smooth shapes).



## Smoothness Term- Discrete Case



- Since the contour is replaced by a chain of  $N$  image points on the curve,  $p_1, p_2, \dots, p_N$ , the second derivative is again approximated by a finite difference:

$$E_{curv} = \|p_{i+1} - 2p_i + p_{i-1}\|^2 \quad \text{where } i = 2, 3, \dots, N-1$$

$$E_{curv} = (x_{i+1} - 2x_i + x_{i-1})^2 + (y_{i+1} - 2y_i - y_{i-1})^2$$

## Edge Attraction Term



- The edge attraction term,  $E_{img}$ , attracts (pulls) the contour towards an edge-defined target contour and is defined as:

$$E_{img} = -\|\nabla I\|$$

where  $\nabla I$  is the spatial gradient of the intensity image  $I$ , computed at each contour point.

- At large gradient vectors (i.e. close to the image edges) we obtain very small (negative)  $E_{img}$  values.
- It is a form of an external energy function.

# Energy Functional- Revisit



- Recall that in order to deform a curve  $c(s)$  so that it closely matches the target curve, we minimize the energy functional:

$$\mathcal{E} = \int \left( \alpha(s) E_{cont} + \beta(s) E_{curv} + \gamma(s) E_{img} \right) ds$$

- $\mathcal{E}$  is minimal when each of the three forces is minimal, which means:
  - $E_{cont}$  forces a compact curve (prefers lines and circles)
  - $E_{curv}$  avoids oscillations (ridges).
  - $E_{img}$  is small when the active contour is close to the edge.

## Energy Functional- Discrete case



- Since the contour is replaced by a chain of  $N$  image points on the curve,  $p_1, p_2, \dots, p_N$  we need a discrete approximation to the energy functional:

$$\mathcal{E} = \sum_{i=1}^N \alpha_i E_{cont} + \beta_i E_{curv} + \gamma_i E_{img}$$

where  $\alpha_i, \beta_i, \gamma_i \geq 0$

- Typical values for the weighting parameters are:  
 $\alpha_i = \beta_i = \gamma_i = 1$ , or  $\alpha_i = \beta_i = 1$  and  $\gamma_i = 1.2$

## Last Step: Minimization



- So computing an active contour involves setting up an energy functional like

$$\mathcal{E} = \sum_{i=1}^N \alpha_i E_{cont} + \beta_i E_{curv} + \gamma_i E_{img}$$

and minimizing it.

- There are many different ways to solve this optimization problem.
- One of the most efficient methods (when applicable) for solving optimization problems is greedy algorithms (looks at locally optimal solution and that leads to a globally optimal solution).

# Greedy Algorithm



- 1. Greedy Minimization:** Move each point  $p_i$  within a small neighborhood to the point that minimizes the functional. Do computations over a small neighborhood: 3x3 or 5x5. Compute the energy at each location in the neighborhood and pick the smallest one. Call this smallest one  $p_i'$ .
- 2. Corner Elimination:** Look for corners among all the  $p_i'$  and adjust  $\beta_i$  to smooth them out. Corners, if present should have the largest curvature values. If a point  $p_j'$  has the largest  $E_{curv}$  value, then set  $\beta_j=0$ . This way we neglect the contribution of  $E_{curv}$  at point  $p_j'$  and let the other terms move the contour.
- 3.** Go back to step 1, until a predefined number of points reaches a local minimum.

# Greedy Algorithm Details



- $E_{cont}$ ,  $E_{curv}$  and  $E_{img}$  must be normalized.
- For  $E_{cont}$  and  $E_{curv}$  we divide by the largest value in the neighborhood in which the point can move.
- For  $E_{img}$ , let  $M$  and  $m$  be the maximum and minimum values of  $\|\nabla I\|$  over the neighborhood. We normalize then by:

$$E_{img} = -\frac{\|\nabla I\| - m}{M - m}$$

# Greedy Algorithm - Comments



- Typically the number of iterations until convergence is proportional to the number of points on the contour, e.g.  $4^*$  (# points).
- It has low computational requirements  $O(MN)$ .
- It works well when the initial contour is close to the target contour.
- There is no guarantee of convergence to the global minimum.



# Snake Algorithm



Let  $f$  be the *minimum fraction* of points that must move in each iteration before convergence, i.e. if fewer than  $f$  points moved, then the deformable contour has stabilized to its final shape.

While a fraction greater than  $f$  of snake points move in an iteration:

1. For each  $i = 1$  to  $N$ 
  - a. compute  $\mathcal{E}$  for each point in the  $3 \times 3$  neighborh.
  - b. find the location in the neighborh. Where  $\mathcal{E}$  is min. and move  $p_i$  at that location.

## Snake Algorithm -continued



2. For each  $i = 1$  to  $N$

a. compute  $k = \|p_{i+1} - 2p_i + p_{i-1}\|$

b. find max  $k$  and all locations where  $k > \text{threshold}$

c. let  $p_j$  be the point with max  $k$

d. set  $\beta_j = 0$

3. update average distance  $d, d\_bar$ .

Return the chain of points  $p_j$  that represent the deformable contour.

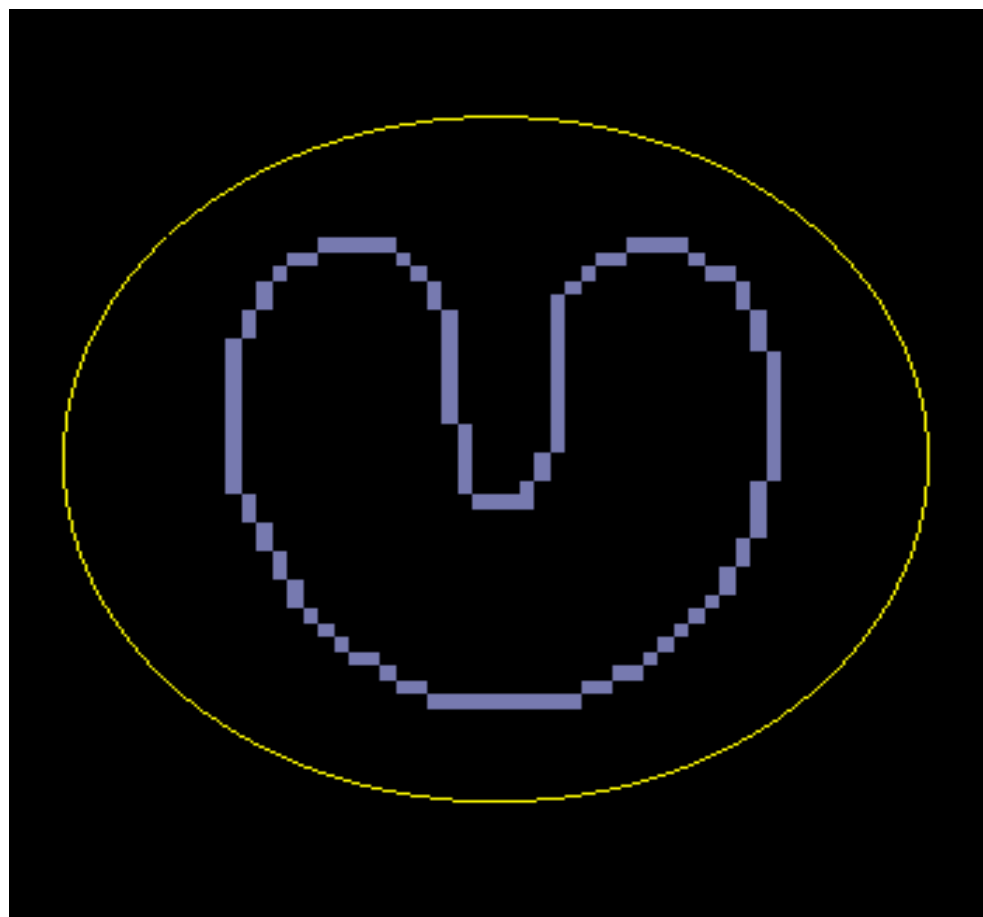
## Further Implementation Details



- **Ignore irrelevant corners:** Point  $p_i$  is considered a corner if and only if: a)  $E_{curv}$  is locally maximum and b)  $\|\nabla I\|$  is sufficiently large.
- **Gaussian smoothing:** To ensure that the snake gets attracted to a pixel with high intensity gradient, blur the image with a Gaussian with a large  $\sigma$ . If part of the snake finds part of the target contour, it will pull the other parts of the snake to continue on the contour. Reduce the blurring, i.e.  $\sigma$ , as the number of iterations increase.



# Revisit the Example





# Advantages

- Active contours are autonomous and self-adapting in their search for a minimal energy state.
- They can be easily manipulated using external image forces.
- They have a general framework that can be adapted to the application at hand.
- They can be used to track dynamic objects in temporal as well as the spatial dimensions.
- The framework allows user interaction/correction during evolution.

# Drawbacks



- They can often get stuck in local minima states.
- Their performance is often sensitive to their initialization.
- They often overlook minute features in the process of minimizing the energy over the entire path of their contours.
- Their accuracy is governed by the convergence criteria used in the energy minimization technique. higher accuracies require tighter convergence criteria and hence, longer computation times.



# Image Sources

1. Movies on active contours are courtesy of. C. Xu and J. Prince <http://www.iacI.ece.jhu.edu/static/gvf/>
2. The and-drawn parametric curve is courtesy of G. Bebis,  
<http://www.cse.unr.edu/~bebis/CS791E/Notes/DeformableContours.pdf>
3. The image of the parametric curve, together with the parameter space is courtesy of sgi,  
[http://techpubs.sqi.com/library/dynaweb\\_docs/0650/SGI\\_Developer/books/Perf\\_PG/sqi\\_html/figures/parametric.curve.gif](http://techpubs.sqi.com/library/dynaweb_docs/0650/SGI_Developer/books/Perf_PG/sqi_html/figures/parametric.curve.gif)