Effects of functional endoscopic sinus surgery on the acoustics of the sinonasal tract*

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Abstract

Background: Nasal and paranasal cavities are supposed to contribute substantially to the vocal tract resonator properties. However, their acoustical effects as well as the effects of sinus surgery on the voice remain unclear. In this work we investigate resonance phenomena of paranasal sinuses prior to and after various rhinosurgical procedures in cadaveric human sinonasal tracts and corresponding 3D casts.

Methodology: Nasal and paranasal cavities of formalin-preserved cadavers and corresponding 3D replicas were excited by sine-tone sweeps from an earphone placed in the epipharynx. The response was picked up by a microphone at the nostrils. Different FESS procedures were performed and the acoustical responses following excitation were recorded. The measured acoustical changes in the obtained transfer functions were then evaluated.

Results: Marked low frequency dips were detected in the transfer functions when sinus cavities were included in the nasal resonator system. These dips showed a significant correlation with sinus volumes. Following FESS procedures they moved upwards in frequency depending on the extent of the surgical intervention.

Conclusions: The transfer functions obtained in cadaveric situs and 3D replicas showed dips at the resonance frequencies of the paranasal cavities. Marked acoustic effects in terms of increase in dip frequency following FESS procedures were reproducibly documented.

Key words: functional endoscopic sinus surgery, paranasal sinuses, resonance frequency, sinonasal tract, cadaveric study, 3D replica

Introduction

Acoustic properties of the nasal and paranasal cavities represent a long-standing issue in voice research. Some authors claim that the nose and the air-filled paranasal sinuses in the facial cranium contribute substantial acoustic effects to the human voice. Particularly, comparisons of spectra from physical or computer simulated models of the nasal tract and in vivo recorded nasals and nasalized vowels have shown effects expected from shunting cavities 1–6. Other authors found no relation between voice quality and the paranasal sinuses; these are regarded as poor resonators due to the limited size of ostia, turbinates covering the ostia and sinonasal mucosa which should dampen vibration 7–9. Also from a clinical point of view, voice alteration following sinus surgery seems to be a controversial issue; some authors have failed to observe voice effects after rhinosurgical interventions 8, 9. On the other hand, others have found measurable acoustical changes 10–12.
Overview of experimental acoustical approaches

The detailed anatomy of the nasal and paranasal cavities is quite complex and shows high interindividual variability as well as a marked left/right asymmetry. This variable morphology produces an extremely complex frequency response obtained in acoustical experiments, differing both within and between individuals. Additionally, the sinonasal tract including the sinus ostia region is lined with mucosa with considerable swelling potential due to variety of exogenous factors as well as the endogenous, ultradian controlled nasal cycle. This non-controllable variation in patency of the sinus ostia and nasal cavity can be expected to substantially alter the acoustical properties of the nasal tract. Further, the velopharyngeal opening, which is highly variable itself, substantially determines the degree of coupling between the nasal and vocal tracts and thus affects the acoustical result.

To rule out the contribution of paranasal cavities to the nasal sound, attempts have been made to investigate the resonance properties of the nose by application of decongestants and thus by direct comparison of normal and decongested condition. However, as the inferior turbinate represents the primarily decongestant-sensitive component of the nasal cavity, the observed acoustical differences may have predominantly emanated from the volume reduction of this structure. Another approach consisted in blocking the sinus ostia by Proetz method (flooding the sinuses with iodine-containing contrast agent under x-ray examination) or by gauze bandage stuffing. However, the mechanical irritation caused by these procedures may have provided considerable alteration of endonasal mucosal condition with subsequent uncontrollable changes of the acoustic properties.

Effects of sinus surgery: a brief overview of clinical research

Clinical investigations of voice alteration in patients undergoing FESS, mostly for treatment of rhinosinusitis, have aimed at pre- and postoperative comparison of various parameters. Some of these investigations have observed perceptual alterations as well as some spectral effects on the voice. However, Tepper et al. and Ozbal Koc et al. did not identify any acoustic pre-/post-operative alterations in their examined voice recordings. Using a spectral analysis approach, also Behrmann et al. and Hosemann et al. observed some changes in postoperatively measured acoustical features. However, the patient group in the first study included individuals who underwent various, partly multiple surgical interventions of the upper airway, including rhinosurgical and oropharyngeal procedures, i.e. tonsillectomy and uvulopalatopharyngoplasty. Due to the scarce consistency of the results in the latter trial the authors concluded that the highly complex acoustic components of the nasal resonance might not be sufficiently assessed by means of the acoustic measurements used in heterogeneous groups of patients.

Avoiding the complexity of spectral analysis, Soneghet al. made use of nasometry technique to examine the changes in the so-called nasalance score, defined as the quotient of radiated nasal and oral acoustic energy. The significant increase in nasalance score observed on postoperative follow-up was then compared to the normative nasalance values in German speaking population. However, the acoustical contribution of the nasal and paranasal cavities in particular was not distinguished, nor were the basic acoustical features of the observed changes discussed.

The relation between nasalance scores and rhinometrically determined nasal patency has been examined in healthy individuals as well as in patients undergoing sinus surgery comparing nasal condition prior to and after decongestant application and FESS intervention, respectively. Significant changes in both measured parameters were revealed in all trials. However, the two parameters failed to correlate, indicating that the increase of nasalance score were not necessarily associated with increase of nasal patency.

Summarizing, clinical studies on voice quality changes following sinonasal surgery published so far have arrived at controversial conclusions. Different and not directly comparable acoustic measurements and parameters have been applied. The data acquisition and averaging across groups of patients treated for various pathologies by means of different surgical techniques contain several confounding factors that prevent comparability and specification of the potential acoustic effects.

As endonasal surgical procedures on nasal and paranasal cavities rank among the most commonly performed surgical interventions in otorhinolaryngology, it is relevant to further elucidate their potential acoustic impact on speech and voice quality. In the present study we systematically examine acoustic effects of FESS in stable anatomical condition of cadaveric sinonasal tracts and corresponding 3D casts.

Materials and methods

The present investigation includes four parts, 1) measurement of resonance properties of the nasal tract in cadavers, (a) with the ostia of the maxillary and the sphenoidal sinuses occluded and (b) after removing the occlusion of each of these ostia, one at a time. 2) measurement of resonance properties of the nasal tract in cadavers before and after surgical interventions, 3) measurement of resonance properties of the nasal tract in 3D replicas based on CT scan imaging of sinonasal tracts, and 4) comparisons of measured and theoretically calculated resonance frequencies of theses models. Details about these measurements will be given below.

The resonance properties were analysed by feeding a sine sweep from an earphone (Jabra BT 3030, Copenhagen, Denmark) mounted in one end of the resonator and picking up the res-
Measurements of cadaveric sinonasal tracts

The resonance properties of sinonasal tracts were measured in eight formalin-preserved human male cadaveric sinonasal tracts (buffered formalin solution, 40g/l). The earphone was then placed and hermetically sealed by means of plasticine in the epipharynx. The signal was picked up 2 cm in front of the nost-
Havel et al. established in the clinical practise for operative treatment of chronic rhinosinusitis. The infundibulotomy, exposing the natural maxillary ostium, was followed by maxillary sinusotomy I and sphenoidal sinusotomy I, as routinely performed in moderate pathologic involvement of these cavities. The final surgical steps was further widening of the maxillary and sphenoidal ostia by maxillary sinusotomy II and sphenoidal sinusotomy II and opening of the frontal recess via ethmoidal cells (frontosphe-noethmoidectomy), as recommended for extensive sinonasal pathologies (28).

CT scan imaging and 3D modeling
High resolution CT scans (slice thickness 0.5 mm, axial acquisi-
tion, soft tissue windowing) of the sinonasal tracts were obtained preoperatively as well as after every surgical intervention, thus allowing for volumetric measurements and comparison of structural changes in the dimensions of sinus ostia. Additionally, the DICOM data sets were further processed using ImageJ (Version 1.48, National Institutes of Health, Bethesda, Maryland, 2004) and ITKsnap-software (Version 3.2.0. University of Pennsylvania, Philadelphia, 2004) and the STL files gained served for 3D modeling of the sinonasal tracts. The production of the 3D replicas was conducted via FDM (Fused Deposition Modeling) technology using a thermoplast-filament (Stratasys ABS-P430 Dimension Elite, Stratasys, MN, USA). To enable occlusion of the sinus ostia in the replica, the casts were printed axially divided in two halves and the corresponding surfaces of the casts were treated with vaseline to ensure sufficient leak tightness (Figure 2). Eventually, recordings obtained in cadaveric situs with formalin-preserved mucosa and those obtained in thermoplastic 3D replicas in preoperative condition as well as after various FESS procedures were compared.

Calculation of resonance frequencies
The CT scan data provided were used for volumetric measure-
ments of the examined sinus cavities via ImageJ software. After conversion to binary mode and brightness/contrast adjustments the cavity volume and ostia dimensions were determined using the wand-tracing and straight tool of the ImageJ software in sa-
gittal and coronal reconstructions. The resulting cavity volumes showed a variation of 0.53% between the reconstruction planes. The measured cavity volume, the cross-sectional area and the length of the ostium were applied in the Helmholtz equation to calculate the resonance frequencies of the given sinus. In the calculation, a tubular ostium configuration was assumed. For calculating the resonance frequency of a given sinus the Helmholtz equation was used:

\[ f = \frac{c}{2\pi} \sqrt{\frac{A_H}{V_0 \cdot \ell_H}} \]

where \( c \) is the sound velocity (34,000 cm/s) \( A_H \) the cross-sectional area of the ostium \( V \) the sinus cavity volume \( \ell_H \) the effective neck/ostium length (set to 0.2cm).

Statistics
Statistical analysis was conducted using StatGraphics Centurion XVI (Version 16.1.18, StatPoint Technologies, Warrenton, VI, USA). A student’s t-test and Wilcoxon signed-rank test were performed for comparison between data samples and for preop-postop comparison of dip frequency data, respectively. Correlations of the linear regression models were determined via ANOVA. A p value of <0.05 was considered statistically signifi-
cant.

Results
Baseline condition
In total, 32 sinus cavities (cadaveric and replica sinuses) were acoustically excited, 85 recordings were eligible for acoustical analysis.
Surgical widening of the sinus ostia led to an increase in dip frequencies. Significant differences are indicated with asterisk (*).

Figure 5. Sinus induced dip frequencies (average ± standard deviation) prior to (lower „preop“ panel, respectively) and following surgical procedures (upper „postop“ panel, respectively) in cadaveric sinonasal tract (left) and 3D replica (middle) as well as the calculated resonance frequencies (right).

No significant preoperative-postoperative differences in frequency of the measured dips were found for the sphenoidal ostium, respectively) was subsequently removed, thus showing the acoustical effects of every single sinus separately. A typical acoustical effect of occlusion removal is shown in Figure 3. In 93% (79/85) of the exposed ostia a marked dip was detected in the transfer function following removal of the occlusion. In six cases (four middle meatus and two sphenoidal ostia) no effect on the acoustical response was observed, all in the preoperative condition.

The average dip frequency for exposed maxillary ostia amounted to 430 Hz±122 Hz (min 230 Hz, max 600 Hz) and for sphenoidal ostia to 733 Hz±133 Hz (min 570 Hz, max 930 Hz).

Pre- and postoperative comparison of dip frequencies
As compared to the preoperative condition, a change in frequency of the sinus-induced antiresonances was observed in the postoperatively obtained transfer functions (Figure 5). The average preoperative dip frequency amounted to 561 Hz ± 223 Hz in the cadaveric sinonasal tract and to 602 Hz ± 168 Hz in 3D replica, respectively. Postoperatively, an average dip frequency of 834 Hz ± 271 Hz (cadaver) and 895 Hz ± 248 Hz (3D replica) was measured. The calculated resonance frequencies of the surgically treated sinuses amounted to on average 879 Hz ± 251 Hz, with preoperatively measured frequencies of 596 Hz ± 163 Hz. All preoperative and postoperative differences in dip frequency were significant (p=0.010 cadaver), (p=0.002 replica), (p=0.002 calculation).

An analysis of the dip frequency differences for the maxillary and the sphenoidal cavity separately revealed a significant increase of the measured dip frequencies for the maxillary cavities in preoperative vs. postoperative condition. This was the case for the dip frequencies in the cadaveric situs, in the 3D replica as well as in the calculation of resonance frequencies (p=0.012, p=0.002, p=0.006, respectively; Figure 6).

Considering the acoustical characteristic of the nasal cavity in isolation (i.e. without sinus cavities) as the baseline condition, the occlusion of one of the ostia (middle meatus, sphenoidal ostium, surgically exposed and widened maxillary and sphenoidal ostium, respectively) was subsequently removed, thus showing the acoustical effects of every single sinus separately. A typical acoustical effect of occlusion removal is shown in Figure 3. In 93% (79/85) of the exposed ostia a marked dip was detected in the transfer function following removal of the occlusion. In six cases (four middle meatus and two sphenoidal ostia) no effect on the acoustical response was observed, all in the preoperative condition.

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Measured vs. calculated dip frequencies
The dip frequencies measured experimentally during the acoustical excitation of the cadaveric and replica sinonasal tracts were shown to correlate significantly with the mathematically via Helmholtz-equation calculated dip frequencies using volumetric data derived from CT scan imaging (Figure 4).
Dip frequency and sinus cavity volume

Regarding the dimension of the sinus cavity, a significant correlation between the dip frequency and the cavity volume in the preoperative condition was shown for cadaver and 3D replica respectively (p=0.018, cadaver, p=0.002, replica). The calculated resonance frequencies were also found to correlate with the cavity volume (p=0.002). Postoperatively, correlation between sinus volume and measured dip frequency in the transfer function or calculated resonance frequency did not longer persist (p=0.210 in cadaver, p=0.198 in replica, p=0.421, calculation), showing that the Helmholtz equation did not apply in these surgically altered situs.

**Discussion**

In the present work we used an ex vivo experimental setting, applying well-defined methods to measure acoustic effects of sinus surgery; the nasal tract was examined in isolation (without the vocal tract) and a standardized sine sweep for acoustical excitation was employed. Cadaveric situs as well as corresponding 3D casts provided stable anatomical conditions without any changes of sinonasal tract shape. By applying the minimally invasive starch mass occlusion we first could eliminate the sinus cavities from the acoustical system and then gradually include them into the acoustical landscape, one by one, in both pre- and postoperative conditions, respectively. Inclusion of a cavity into the resonatory system of the sinonasal tract generally led to an absorption of the sound energy at specific frequency.
The frequency region, in which the sound absorption (represented by a marked dip in the transfer function) occurred, was shown to be dependent on the cavity volume. Moreover, calculations of resonance frequencies expected for the given cavity dimensions, determined by volumetric measurements from the CT scan imaging, correlated significantly with experimentally measured dip frequencies in the cadaver as well as in the 3D replica, respectively. Despite the high spatial resolution of the axially acquired CT slices (0.5 mm), the exact dimensions as well as the complex shape of the narrow ostia region are difficult to delineate in the replica. Additionally, in the calculation via Helmholtz equation a tubular shape of the ostia was assumed. Thus, some differences between the obtained dip frequencies in the cadaver and the replica as well as in the calculated resonance frequencies could be expected.

The formalin-preservation of the human sinonasal tracts has led to hardening of the mucosal tissue as compared to an in vivo condition, fresh frozen or Thiel-fixation. However, the differences in the properties of the wall linings could not possibly affect the resonance frequencies. They can only affect the losses in the acoustic system and hence the depth of the dip in the transfer function.

Unexpectedly, in six cases, all in the preoperative condition, no dip was observed after removal of the ostium occlusion. Apart from the possibility of an incomplete removal of the occluding maltodextrin mass, the reason may have been that the uncinate process prevented excitation of the cavity in the case of the four middle meatus. The two sphenoidal ostia may have been too narrow to allow acoustical excitation.

Several publications investigating the acoustic properties of the nose experimentally or via mathematical calculation used Helmholtz resonators for modeling the paranasal cavities. The present results show that the dip frequencies derived from the Helmholtz equation might provide a fairly good match of what was experimentally measured in the real human sinonasal anatomy.

We analyzed the effect of ostium occlusion removal on one side only. The CT scans showed a substantial asymmetry in cavity size between the left and right sides in the cadaver heads. As the cavity size strongly influences the resonance of the cavity, this asymmetry can be predicted to cause different dip frequencies between the left and right sides.

The obtained transfer functions were further analyzed with respect to changes in transmission characteristics following FESS procedures. The analysis revealed a systematic effect in terms of an increase of the dip frequency in the postoperative condition; in the cadaver as well as in the replica the dip frequencies increased by about 200-300 Hz as compared to the preoperative condition.

Endoscopically controlled widening of the maxillary and sphenoid ostia, established in the surgical routine for ventilation improvement of the sinus cavities in treatment for chronic rhinosinusitis with and without nasal polyps, was observed to lead to significant increase in dip frequency. Extended intervention (frontosphenoethmoidectomy), typically reserved for pronounced sinonasal pathology and associated with comorbidities (cystic fibrosis, aspirin sensitivity) or revision procedures, showed additional increase of dip frequency.

Under ex vivo conditions, acoustic effect was detected of surgically widening the ostium of the maxillary and the sphenoid cavity. The effect, an increase of the associated dip frequency, was greater and significant only for the maxillary sinus. It would be relevant that the widening of maxillary ostia is typically more pronounced due to the anatomical conditions as well as to the clinical relevance of the mucosal pathology of the maxilloethmoidal region. Also, the axial length of the ostia is extremely small because of the thin lamella. With respect to the sphenoidal ostia the surgical widening is generally quite moderate. This may be the reason why the effect of surgery on the dip frequency failed to reach statistical significance.

Despite the common assumption that the frontal sinuses provide substantial resonatory effects on the voice, only one experimental study supporting this hypothesis could be identified in the literature. While subjects were phonating a nasal consonant, Dang et al. slid a flexible tube, attached to a probe microphone, along the floor of one side of the nasal cavity starting about 8 cm posteriorly, moving anteriorly towards the nostrils. They observed acoustic alterations of dips in the high frequency region in 3 subjects and ascribed this to frontal sinus resonance. Also in the present work, dips were detected in the high frequency region, which could be explained by frontal sinus resonance, but only after completion of a frontosphenoethmoidectomy procedure with widening of frontal recess.

Without surgical widening of the ostia, no acoustic effects of the frontal sinuses were observed in the transfer function in our examination.

It might further be mentioned that from an anatomical point of view and under normal conditions, i.e. without surgical intervention, the accessibility of the frontal sinuses and the ethmoidal cells is limited. The passages leading to these sinuses consist of the middle meatus region, the ethmoidal infundibulum, and the frontal recess, and they are typically so narrow that they would rather be inaccessible to acoustical excitation. In a retrospective study on multiplanar CT scan imaging in 641 patients, Leunig et al. found considerable diversity in paranasal sinus morphology, inter alia a prevalence of agger nasi cells located in the area of frontoethmoidal complex complicating the accessibility of the frontal sinuses in 80% of the examined cases. Moreover, Möller and associates showed that while a pulsating aerosol inhalation was found to deposit in the sphenoidal and maxillary sinuses, no such deposition was detected in the frontal sinuses confirming the severely limited accessibility of the frontal sinuses in...
unchanged ostia conditions. Our investigation was focused on acoustic characteristics of the sinonasal tract in isolation. This favorably reduced complexity and facilitated theoretical understanding of findings, showing a reasonable quantitative agreement between theoretically calculated and experimentally measured resonances in cadavers and corresponding replicates. In this sense our investigation seems to have improved the understanding the acoustical effects of surgical interventions of the nasal tract. A relevant question, open for the future, concerns the consequences of endonasal surgery for the voice, as such surgery will affect not only the sinu-nasal resonance conditions – as demonstrated above - but also the control of the velopharyngeal opening in speech and singing.

Conclusion
Under normal conditions, the maxillary and sphenoidal sinus are accessible to sound excitation. Contrary to a common assumption, they absorb rather than amplify sound. The absorption is greatest at their resonance frequency, such that these sinuses produce dips in the frequency response of the nasal tract. The dip frequency was shown to be dependent from the volume of the sinus cavity. Using the Helmholtz equation the resonance frequencies of the paranasal sinus could be calculated from volumetric CT scan imaging, the results showing good accordance with the dip frequencies measured in the 3D replica as well as with data experimentally gained in real human sino-nasal anatomy. Very narrow ostia impede sound access. Thus, no examples of sound absorption of the frontal sinuses could be documented, unless after surgical widening of the frontal recess. The FESS procedures lead to reproducible effects on the acoustical frequency response of the sinonasal tracts in terms of systematical increase of the dip frequencies.

Authorship contribution
MH/JS conceptualized and designed the study. MH/SB/MS/AM/TJ collected and assembled the data. Data analysis and interpretation were conducted by JS/MH, who also drafted the article. SB/MS/AM/TJ critically revised the article for intellectual content. All authors approved the final version of the article.

Conflict of interest
The authors describe no conflict of interest.

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