

# Fast Sample Generation with Variational Bayesian for Limited Data Hyperspectral Image Classification

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#### **Outline**

#### Introduction

- GMM-based synthetic HS data augmentation
- Variational Bayesian (VB)

#### **Pipeline**

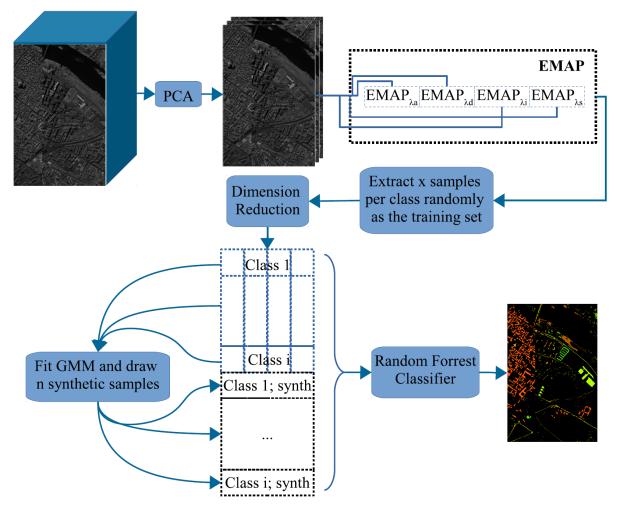
#### **Experimental Setup**

- Dataset
- Classification
- Experimental Results

#### Conclusion



# **GMM-based Synthetic HS Data Augmentation [1]**

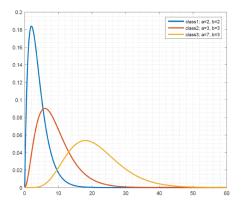


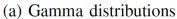
[1] Davari, Amirabbas, Erchan Aptoula, Berrin Yanikoglu, Andreas Maier, and Christian Riess. "GMM-Based Synthetic Samples for Classification of Hyperspectral Images With Limited Training Data." *IEEE Geoscience and Remote Sensing Letters 2018* 

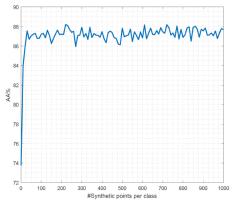


#### **Synthetic Dataset**

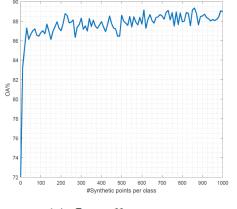
- Gamma Distribution
- Three different parameters represents Three classes
- 1000, 2000 and 3000 samples for blue, red and yellow classes
- 13 pixels per class were randomly drawn as training set



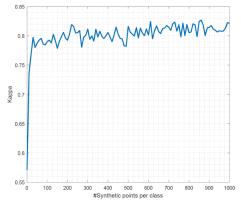




(b) Average accuracy



(c) Overall accuracy



(d) Kappa



We would like to do maximum likelihood:

$$\theta_{MLE} = \underset{\theta}{\operatorname{argmax}} p(\mathcal{D}|\theta)$$

- $p(x|\theta)$  is the underlying distribution that we want to maximize.
- Why are we interested in  $p(x|\theta)$  and not p(x)?
  - p(x) is not expressive enough.

$$p(x|\theta) = \int p(x, z|\theta)dz$$
$$= \int p(x|\theta)p(z|x, \theta)dz$$
$$= \int p(z|\theta)p(x|z, \theta)dz$$



In a Gaussian Mixture Model:

$$p(x|\theta) = \int p(x, z|\theta) dz = \sum_{k=0}^{K} \pi_k \mathcal{N}(x|\mu_k, \Sigma_k)$$
  
$$\theta = \{\pi_k, \theta_k, \Sigma_k\}$$

For the log likelihood, however:

$$\underset{\theta}{\operatorname{argmaxln}} p(\mathcal{D}|\theta) = \sum_{n=1}^{N} \ln \sum_{k=0}^{K} \pi_k \mathcal{N}(x_n | \mu_k, \Sigma_k)$$

there is a logarithm inside the sum and there is no close form solution for that.



$$\ln p(\mathbf{x}|\theta) = \int q(\mathbf{z}) \ln \left( p(\mathbf{x}|\theta) \frac{q(\mathbf{z})}{q(\mathbf{z})} \right) dz$$

$$= \int q(\mathbf{z}) \ln \frac{p(\mathbf{x}, \mathbf{z}|\theta)}{q(\mathbf{z})} dz + \int q(\mathbf{z}) \ln \frac{q(\mathbf{z})}{p(\mathbf{z}|\mathbf{x}, \theta)} dz$$

$$\mathcal{L}_{ELBO}(q, \theta) \qquad \mathbf{KL}(q(\mathbf{z})||p(\mathbf{z}|\mathbf{x}, \theta))$$

• Since  $\mathbf{KL}(q(\mathbf{z})||p(\mathbf{z}|\mathbf{x},\theta)) \geq 0$ ; i.e. is non-negative, the first part is the lower bound of the log likelihood (evidence) i.e. the evidence lower bound or ELBO in short.

$$\mathcal{L}_{ELBO}(q, \theta) = \int q(\mathbf{z}) \ln \frac{p(\mathbf{x}, \mathbf{z}|\theta)}{q(\mathbf{z})} dz \le \ln p(\mathbf{x}|\theta)$$

$$=> \underset{q}{\operatorname{argmin}} \mathbf{KL}(q(\mathbf{z})||p(\mathbf{z}|\mathbf{x}, \theta)) = \underset{q}{\operatorname{argmax}} \mathcal{L}_{ELBO}(q, \theta)$$



• Assume the responsibility  $r_{nk}(\theta)$ 

$$r_{nk}(\theta) \equiv p(z|\mathbf{x}_n, \theta)$$

• It turns out that  $r_{nk}(\theta)$  is the optimal solution to

$$\underset{q}{\operatorname{argmin}} \mathbf{KL}(q(\mathbf{z})||p(\mathbf{z}|\mathbf{x},\theta)) = \underset{q}{\operatorname{argmax}} \mathcal{L}_{ELBO}(q,\theta)$$

• Further, after some math it turns out that:

$$\underset{\theta}{\operatorname{argmax}} \mathcal{L}_{ELBO}(q, \theta) = \underset{\theta}{\operatorname{argmax}} \mathbf{E}_{q(\mathbf{z})} \left[ \ln p(\mathbf{x}, \mathbf{z} | \theta) \right]$$

• The learning is an EM like procedure. Fix  $\theta$  and maximize  $r_{nk}(\theta)$ , and then fix  $r_{nk}(\theta)$  and maximize  $\theta$ .



#### **Pavia Centre Scene Dataset:**

- acquired by the ROSIS sensor
- 610 \* 340 pixels
- geometrical resolution of 1.3 m
- 103 spectral bands
- We used the first four of its PCs which contained 99.16% of the total variance

#	Class	Samples
1	Asphalt	6631
2	Meadows	18649
3	Gravel	2099
4	Trees	3064
5	Painted metal sheets	1345
6	Bare Soil	5029
7	Bitumen	1330
8	Self-Blocking Bricks	3682
9	Shadows	947

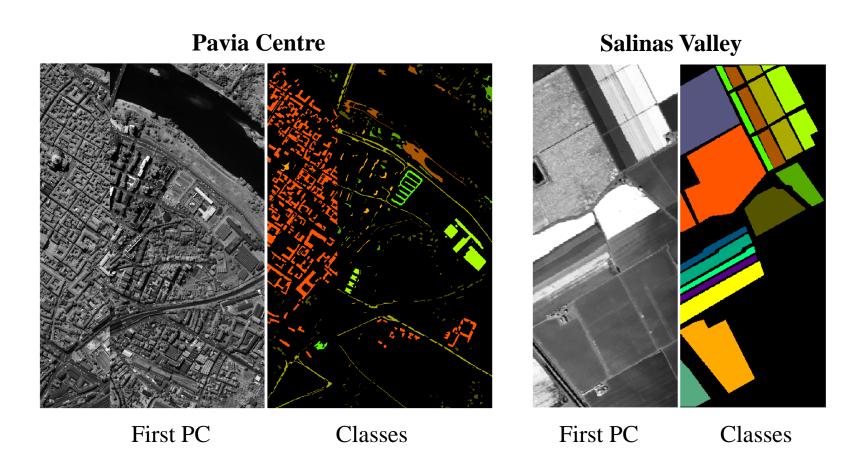


#### **Salinas Valley Scene Dataset:**

- acquired by the AVIRIS sensor
- 512 \* 217 pixels
- geometrical resolution of 3.7 m
- 204 spectral bands
- We used the first four of its PCs which contained 99.68% of the total variance

#	Class	Samples
1	Brocli_green_weeds_1	2009
2	Brocli_green_weeds_2	3726
3	Fallow	1976
4	Fallow_rough_plow	1394
5	Fallow_smooth	2678
6	Strubble	3959
7	Celery	3579
8	Grapes_untrained	11271
9	Soil_vinyard_develop	6203
10	Corn_senesced_green_weeds	3278
11	Lettuce_romaine_4wk	1068
12	Lettuce_romaine_5wk	1927
13	Lettuce_romaine_6wk	916
14	Lettuce_romaine_7wk	1070
15	Vinyard_untrained	7268
16	Vinyard_vertical_trellis	1807





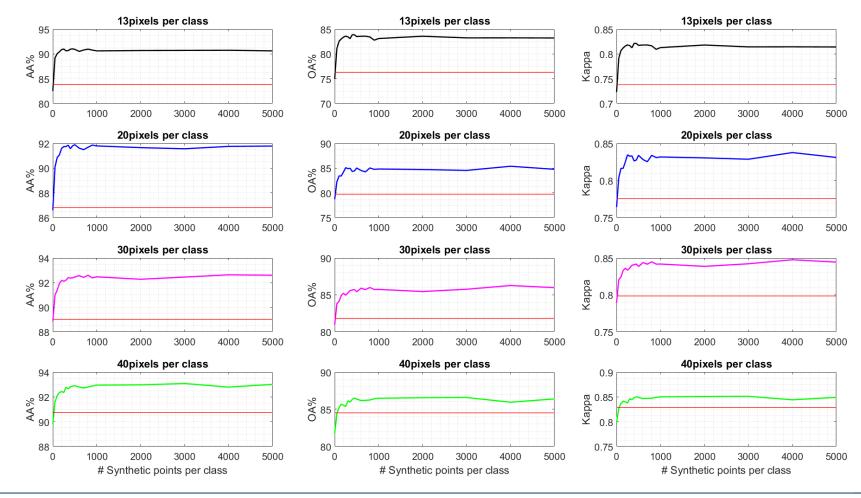


#### Classification

- Classifier:
  - random forest with 100 trees as height and square root of number of features as depth.
- Training set: 13, 20, 30 and 40 pixels per class were randomly selected from the image as separate training sets.
- For each experiment, the random selection of the training set was repeated 25 times and the average of the overall accuracy, average accuracy and kappa statistics were calculated and reported.



#### Results: Salinas-PCA-EMAP-PCA





## **Results: Salinas-PCA-EMAP-NWFE**

Algorithm	AA % (± SD) OA % (± SD)   Kappa (± SD)							
13 pix/class								
EMAP	$83.84 \ (\pm 2.06) \ 76.30 \ (\pm 2.74) \ 0.7380 \ (\pm 0.0292)$							
EMAP-NWFE	$88.68 \ (\pm 1.20) \ \ 80.42 \ (\pm 2.34) \ \ 0.7838 \ (\pm 0.0247)$							
EMAP-NWFE-Synth	<b>92.86</b> (±0.72) <b>86.19</b> (±1.79) <b>0.8468</b> (±0.0195)							
20 pix/class								
EMAP	86.81 ( $\pm 1.63$ ) 79.74 ( $\pm 2.56$ ) 0.7756 ( $\pm 0.0269$ )							
<b>EMAP-NWFE</b>	90.56 ( $\pm$ 1.26) 82.26 ( $\pm$ 2.62) 0.8038 ( $\pm$ 0.0280)							
EMAP-NWFE-Synth	<b>93.70</b> ( $\pm 0.46$ ) <b>87.30</b> ( $\pm 1.27$ ) <b>0.8590</b> ( $\pm 0.0139$ )							
30 pix/class								
EMAP	$89.01 \ (\pm 1.10) \ 81.80 \ (\pm 2.30) \ 0.7985 \ (\pm 0.0248)$							
<b>EMAP-NWFE</b>	92.25 ( $\pm 0.82$ ) 84.76 ( $\pm 2.38$ ) 0.8314 ( $\pm 0.0256$ )							
EMAP-NWFE-Synth	<b>94.25</b> ( $\pm 0.47$ ) <b>88.20</b> ( $\pm 1.48$ ) <b>0.8690</b> ( $\pm 0.0162$ )							
40 pix/class								
EMAP	90.75 ( $\pm 0.86$ ) 84.52 ( $\pm 1.76$ ) 0.8285 ( $\pm 0.0192$ )							
EMAP-NWFE	93.29 ( $\pm 0.41$ ) 86.09 ( $\pm 1.86$ ) 0.8462 ( $\pm 0.0200$ )							
EMAP-NWFE-Synth	<b>94.60</b> ( $\pm 0.41$ ) <b>88.92</b> ( $\pm 1.08$ ) <b>0.8768</b> ( $\pm 0.0119$ )							

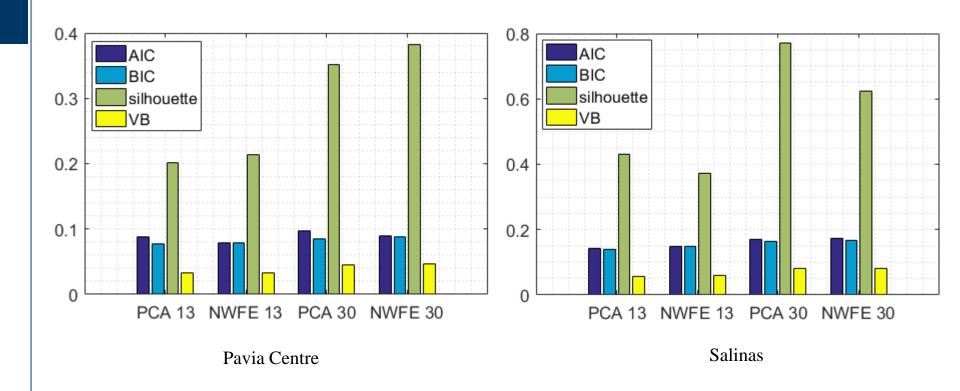


# Results: Pavia Centre, VB vs. the other methods

Algorithm	pix per class	AA% (±SD)	OA% (±SD)	Kappa (±SD)	Run time $(s)$ ( $\pm SD$ )		
PCA							
AIC	13	85.17 (±1.21)	93.39 (±1.44)	$0.9072 \ (\pm 0.0197)$	$0.0877 \ (\pm 0.0115)$		
	30	$88.52 (\pm 0.71)$	$94.68 \ (\pm 0.51)$	$0.9252 \ (\pm 0.0070)$	$0.0978 \ (\pm 0.0054)$		
BIC	13	85.50 (±1.14)	$93.67 (\pm 0.82)$	$0.9110 \ (\pm 0.0114)$	$0.0781 \ (\pm 0.0017)$		
	30	$88.23 (\pm 1.06)$	94.81 $(\pm 0.46)$	$0.9270 \ (\pm 0.0064)$	$0.0856 \ (\pm 0.0025)$		
avg. silhouette	13	85.10 (±1.27)	93.64 (±1.03)	$0.9105 (\pm 0.0142)$	$0.2013~(\pm 0.0082)$		
	30	$87.61 (\pm 1.14)$	$94.68 \ (\pm 0.32)$	$0.9251 \ (\pm 0.0045)$	$0.3521 \ (\pm 0.0223)$		
gap	13	83.14 (±1.87)	$92.23 \ (\pm 1.00)$	$0.8911 \ (\pm 0.0138)$	$16.4766 \ (\pm 0.1400)$		
	30	$85.87 (\pm 2.62)$	$93.54 (\pm 1.03)$	$0.9091 \ (\pm 0.0145)$	$35.4273 \ (\pm 0.2511)$		
VD	13	85.60 (±0.62)	93.52 (±0.40)	0.9090 (±0.0055)	$0.0324\ (\pm0.0030)$		
VB	30	89.14 (±0.46)	95.15 $(\pm 0.42)$	$0.9317 \ (\pm 0.0059)$	$0.0450 \ (\pm 0.0029)$		

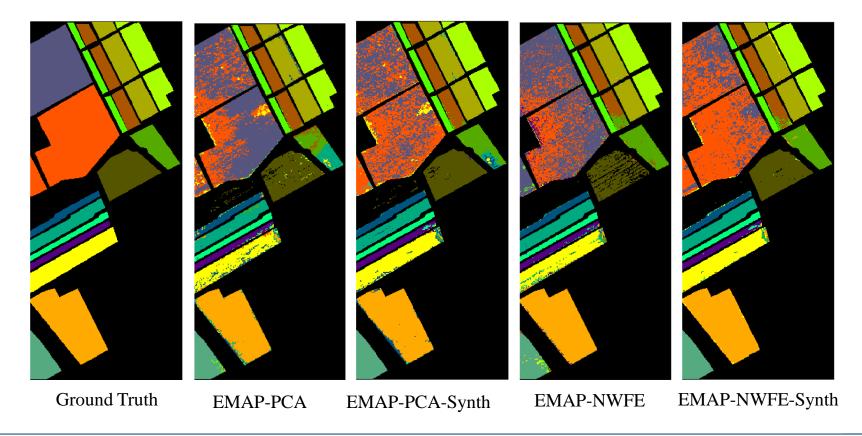


## **Results:**





## **Results: Salinas**





#### **Conclusion**

- VB yields similar, if not better, classification performance compared to the other methods.
- The results using VB are generally more consistent as well.
- More importantly, Variational Bayesian does not need the clustering algorithm to be executed in advance.
- VB is very memory efficient and drastically reduces the computational cost



